

01-16-02

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## FISH &amp; RICHARDSON P.C.

225 Franklin Street  
Boston, Massachusetts  
02110-2804

Telephone  
617 542-5070

Facsimile  
617 542-8906

Web Site  
www.fr.com

JC474 U.S. PTO  
10/046652

01/14/02

January 14, 2002

Attorney Docket No.: 09857-071001

**Box Patent Application**  
Commissioner for Patents  
Washington, DC 20231

Presented for filing is a new original patent application of:

Applicant:

Title: OPENING PRICE PROCESS FOR TRADING SYSTEM

Enclosed are the following papers, including those required to receive a filing date  
under 37 CFR §1.53(b):

|               | <u>Pages</u>                  |
|---------------|-------------------------------|
| Specification | 21                            |
| Claims        | 5                             |
| Abstract      | 1                             |
| Declaration   | [To be Filed at a Later Date] |
| Drawing(s)    | 18                            |

Enclosures:

— Postcard.

|  |       |
|--|-------|
| Basic filing fee                             | \$740 |
| Total claims in excess of 20 times \$18      | \$90  |
| Independent claims in excess of 3 times \$84 | \$0   |
| Fee for multiple dependent claims            | \$0   |
| Total filing fee:                            | \$830 |

Under 37 CFR §1.53(f), no filing fee is being paid at this time.

## CERTIFICATE OF MAILING BY EXPRESS MAIL

Express Mail Label No. EL932075716US

I hereby certify under 37 CFR §1.10 that this correspondence is being  
deposited with the United States Postal Service as Express Mail Post  
Office to Addressee with sufficient postage on the date indicated below  
and is addressed to the Commissioner for Patents Washington,  
D C 20231

Date of Deposit January 14, 2002  
Signature Leroy Jenkins  
Typed or Printed Name of Person Signing Certificate Leroy Jenkins

01/14/02  
JC474 U.S. PTO  
Frederick P. Fish  
1855-1930  
W.K. Richardson  
1859-1951

FR

BOSTON

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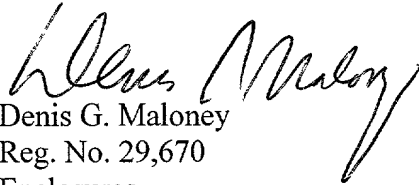
If this application is found to be incomplete, or if a telephone conference would otherwise be helpful, please call the undersigned at (617) 542-5070.

Kindly acknowledge receipt of this application by returning the enclosed postcard.

Please send all correspondence to:

DENIS G. MALONEY  
Fish & Richardson P.C.  
225 Franklin Street  
Boston, Massachusetts 02110-2804

Respectfully submitted,



Denis G. Maloney  
Reg. No. 29,670

Enclosures

DGM/mgc

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